

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/680,076 Confirmation No.: 9942  
Applicant: : Bell et al.  
Filed: : October 6, 2003  
Group Art Unit : 1624  
Examiner: : COLEMAN, Brenda Libby  
For : TRIAZA COMPOUND REGULATORY AGENTS  
Docket No. : 36-02  
Customer No. : 23713

**CERTIFICATE OF EFS-WEB FILING**

I hereby certify that this correspondence is being submitted via the USPTO EFS-WEB system.

March 30, 2007  
Date

/bobbyesimon/  
Bobbye Simon

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

**MAIL STOP AMENDMENT**  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

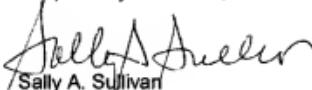
Sir:

Further to the Information Disclosure Statement filed March 25, 2005 and the Supplemental Information Disclosure Statement filed July 27, 2006, the Examiner is respectfully requested to consider the additional references, (copy of non-US patent documents enclosed), which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office form PTO/SB/08a (substitute for PTO-1449).

To the knowledge of the person signing the certification after making reasonable inquiry, no item of information listed in this Supplemental Information Disclosure Statement was known to have relevance to patentability hereof more than three months prior to the filing of this Supplemental Information Disclosure Statement. See 37 CFR 1.97(e)(2).

The cited additional references known to the Applicants are listed on PTO/SB/08a. That information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO/SB/08a is not an admission that all listed references are prior art. No representation is made that applicants know of the best art.

Respectfully submitted,



Sally A. Sullivan  
Reg. No. 32,064

GREENLEE, WINNER AND SULLIVAN, P.C.  
4875 Pearl East Circle, Suite 200, Boulder, CO 80303  
Telephone: (303) 499-8080 - Facsimile: (303) 499-8089  
Attorney Docket No. 36-02  
bds:March 30, 2007

|                                                                                                            |                        |  |                       |  |
|------------------------------------------------------------------------------------------------------------|------------------------|--|-----------------------|--|
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>( Not for submission under 37 CFR 1.99)</i> | Application Number     |  | 10680076              |  |
|                                                                                                            | Filing Date            |  | 2003-10-06            |  |
|                                                                                                            | First Named Inventor   |  | Thomas Bell           |  |
|                                                                                                            | Art Unit               |  | 1624                  |  |
|                                                                                                            | Examiner Name          |  | COLEMAN, Brenda Libby |  |
|                                                                                                            | Attorney Docket Number |  | 36-02                 |  |

| U.S.PATENTS       |         |               |                        |            |                                                 |                                                                        |
|-------------------|---------|---------------|------------------------|------------|-------------------------------------------------|------------------------------------------------------------------------|
| Examiner Initial* | Cite No | Patent Number | Kind Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
|                   | 1       |               |                        |            |                                                 |                                                                        |

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| U.S.PATENT APPLICATION PUBLICATIONS |         |                    |                        |                  |                                                 |                                                                        |
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| Examiner Initial*                   | Cite No | Publication Number | Kind Code <sup>1</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS |         |                                      |                                        |                        |                  |                                                 |                                                                        |
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| Examiner Initial*        | Cite No | Foreign Document Number <sup>3</sup> | Country Code <sup>2</sup> <sup>4</sup> | Kind Code <sup>4</sup> | Publication Date | Name of Patentee or Applicant of cited Document | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |
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| NON-PATENT LITERATURE DOCUMENTS |         |                                                                                                                                                                                                                                                                 |  |  |  |  |  |
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| Examiner Initials*              | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  |
|                                 |         |                                                                                                                                                                                                                                                                 |  |  |  |  |  |

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|   |                                                                                                                                                                                |
|---|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1 | ATKINS, T.J. et al., MACROCYCLIC OLYAMINES: 1,4,7,10,13,16- HEXAÄZACYCLOOCTADECANE, Org. Synthesis, Coll. Vol. 6, p.652 (1988); Vol. 58, p.86 (1978). <input type="checkbox"/> |
| 2 | CHAVEZ, F. et al., A SIMPLIFIED SYNTHETIC ROUTE TO POLYAZA MACROCYCLES, J. Org. Chem. 1989, 54, 2990-2992. <input type="checkbox"/>                                            |

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

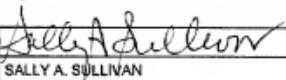
OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.  
 Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.  
 None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

|            |                                                                                   |                     |            |
|------------|-----------------------------------------------------------------------------------|---------------------|------------|
| Signature  |  | Date (YYYY-MM-DD)   | 2007-03-30 |
| Name/Print | SALLY A. SULLIVAN                                                                 | Registration Number | 32064      |

This collection of Information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.